

# **SPECULAR MARKETING & FINANCING LTD.**

REG. OFFICE: HARI NIVAS, 2<sup>ND</sup> FLOOR, 26, MAMA PARMANAND MARG, OPERA HOUSE, MUMBAI - 400004  
PHONE: 23682859 EMAIL: specmkt@gmail.com  
CIN NO. L51900MH1985PLC034994

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Date: - 05.01.2017

To  
**The Listing Department**  
**Bombay Stock Exchange Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai - 400 001

Scrip Code No. 512153

**SUB: SUBMISSION OF THE SHAREHOLDING PATTERN FOR THE QUARTER ENDED 31<sup>ST</sup> DECEMBER, 2016**

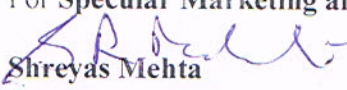
Dear Sir,

With reference to the above please find enclosed herewith the shareholding pattern for the quarter ended 31<sup>st</sup> December, 2016 as per provision of Regulation 31 of SEBI (Listing Obligation & Disclosure Requirements) Regulation 2015.

This is for your information and records only.

Thanking you

Yours faithfully,  
For **Specular Marketing and Financing Limited**

  
**Shreyas Mehta**  
**Compliance Officer and**  
**Managing Director**

Encl:- As above

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

- 1 Name of Listed Entity: **Specular Marketing and Financing Limited**
- 2 Scrip Code/Name of Scrip/Class of Security: **512153 / SPECMKT / EQUITY**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending : **31st December , 2016**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

For Specular Marketing & Financing Limited  
  
Director

Specular Marketing and Financing Limited  
Table 1 - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up shares held (IV)	No of partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Total as a % of (A+B+C)					No. (a) As a % of total shares held (b)	No. (a) As a % of total shares held (b)	No. (b) As a % of total shares held (b)		
								No of Voting Rights	Class Equity	Total							
(A)	Promoter & Promoter Group	19	183250	0	0	183250	73.89	183250	0	183250	73.89	0	0	0	0	0	0
(B)	Public	152	64750	0	0	64750	26.11	64750	0	64750	26.11	0	0	0	0	0	0
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Total</b>	<b>171</b>	<b>248000</b>	<b>0</b>	<b>0</b>	<b>248000</b>	<b>100</b>	<b>248000</b>	<b>0</b>	<b>248000</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

For Specular Marketing & Financing Limited



Director



Specular Marketing and Financing Limited

Table II (I)(a). Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)	PAN (II)	Nos of share holder (III)	No of fully paid-up equity shares held (IV)	Partly paid up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	
								Total as a % of Voting Rights					As a % of total shares held (b)	No. (e)			As a % of total shares held (b)
								Class Equity	Class Equity	Total							
<b>1 Indian Individuals / Hindu Undivided</b>																	
(a) Family		18	178550	0	0	178550	71.99000	178550	0	178550	0	0.00000	0	0.00000	0	0	
	AACPM8602L		24300	0	0	24300	9.8	24300	0	24300	0	0	0	0	0	0	
	AAAJ0981E		24750	0	0	24750	9.98	24750	0	24750	0	0	0	0	0	0	
	AADPR9573H		5000	0	0	5000	2.02	5000	0	5000	0	0	0	0	0	0	
	AAIPM2302F		12200	0	0	12200	4.92	12200	0	12200	0	0	0	0	0	0	
	AHXPM0093R		19000	0	0	19000	7.66	19000	0	19000	0	0	0	0	0	0	
	AABPM8733F		15650	0	0	15650	6.31	15650	0	15650	0	0	0	0	0	0	
	AAHPM3650P		9000	0	0	9000	3.63	9000	0	9000	0	0	0	0	0	0	
	AAHPM0092J		26450	0	0	26450	10.66	26450	0	26450	0	0	0	0	0	0	
	AAHPM3648P		16050	0	0	16050	6.47	16050	0	16050	0	0	0	0	0	0	
	AAHPM7070R		8000	0	0	8000	3.23	8000	0	8000	0	0	0	0	0	0	
	AABPM2099H		10000	0	0	10000	4.03	10000	0	10000	0	0	0	0	0	0	
	AGNPM4926G		1050	0	0	1050	0.42	1050	0	1050	0	0	0	0	0	0	
	AHHPM5561E		5500	0	0	5500	2.22	5500	0	5500	0	0	0	0	0	0	
	AACPM1418Q		50	0	0	50	0.02	50	0	50	0	0	0	0	0	0	
	AOMP7853B		50	0	0	50	0.02	50	0	50	0	0	0	0	0	0	
	AEJPM8507I		500	0	0	500	0.2	500	0	500	0	0	0	0	0	0	
	AKYPM8582F		500	0	0	500	0.2	500	0	500	0	0	0	0	0	0	
	AAGPD1647L		500	0	0	500	0.2	500	0	500	0	0	0	0	0	0	
(b) Central Government / State Government(s)		0	0	0	0	0	0.00000	0	0	0	0	0.00000	0	0.00000	0	0	
(c) Financial Institutions / Banks		0	0	0	0	0	0.00000	0	0	0	0	0.00000	0	0.00000	0	0	
(d) Any Other (Specify)		0	0	0	0	0	0.00000	0	0	0	0	0.00000	0	0.00000	0	0	
Bodies Corporate		1	4700	0	0	4700	1.90000	4700	0	4700	0	0.00000	0	0.00000	0	0	
Residual Hirralal & Co Pvt Ltd		1	4700	0	0	4700	1.9	4700	0	4700	0	0	0	0	0	0	
Sub Total (A)(1)		19	183250	0	0	183250	73.89000	183250	0	183250	0	0.00000	0	0.00000	0	0	
<b>2 Foreign Individuals (Non-Resident Individuals / Foreign Individuals)</b>																	
(a) Government		0	0	0	0	0	0.00000	0	0	0	0	0.00000	0	0.00000	0	0	
(b) Institutions		0	0	0	0	0	0.00000	0	0	0	0	0.00000	0	0.00000	0	0	
(c) Foreign Portfolio Investor		0	0	0	0	0	0.00000	0	0	0	0	0.00000	0	0.00000	0	0	
(d) Any Other (Specify)		0	0	0	0	0	0.00000	0	0	0	0	0.00000	0	0.00000	0	0	
Sub Total (A)(2)		0	0	0	0	0	0.00000	0	0	0	0	0.00000	0	0.00000	0	0	
Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		19	183250	0	0	183250	73.89000	183250	0	183250	0	0.00000	0	0.00000	0	0	

Details of Shares which remain unclaimed may be given bear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

For Specular Marketing & Financing Limited  
  
 Director



Specular Marketing and Financing Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights	Total % of Total Voting Rights			No. (a)	As a % of total shares held (b)		No. (a)	As a % of total shares held (b)
<b>1 Institutions</b>																
(a) Mutual Fund		0	0	0	0	0	0	0	0	0	0	0	0	0		
(b) Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0		
(c) Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0		
(d) Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0		
(e) Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0		
(f) Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0		
(g) Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0		
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0		
(i) Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0		
Sub Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0		
<b>2 Central Government/ State Government(s)</b>																
President of India		0	0	0	0	0	0	0	0	0	0	0	0	0		
Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0		
<b>3 Non-Institutions</b>																
<b>Individuals</b>																
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.																
Venu Kalikkot	ACFPK4708A	151	61950	0	0	61950	24.98	61950	0	61950	24.98	0	0	0		
Pradip K Kothari	ZZZZZ9999Z		7000	0	0	7000	2.82	7000	0	7000	2.82	0	0	0		
Jarmal Mehta	ZZZZZ9999Z		4000	0	0	4000	1.61	4000	0	4000	1.61	0	0	0		
Ajay J Mehta	AALPM0177D		4000	0	0	4000	1.61	4000	0	4000	1.61	0	0	0		
Kalpana P Kothari	ZZZZZ9999Z		4000	0	0	4000	1.61	4000	0	4000	1.61	0	0	0		
Sanjay Doshi	ZZZZZ9999Z		3000	0	0	3000	1.21	3000	0	3000	1.21	0	0	0		
A H Bhimani	ZZZZZ9999Z		10000	0	0	10000	4.03	10000	0	10000	4.03	0	0	0		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																
		0	0	0	0	0	0	0	0	0	0	0	0	0		

For Specular Marketing & Financing Limited

*SARAWAN*  
Director

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class	Equity	Total						
(b) NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0		
(c) Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0		
(d) Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0		
(e) Any Other (Specify)																
Hindu Undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0		
Non Resident Indians (Non Repat)		0	0	0	0	0	0	0	0	0	0	0	0	0		
Non Resident Indians (Repatriation)		0	0	0	0	0	0	0	0	0	0	0	0	0		
Clearing Member		0	0	0	0	0	0	0	0	0	0	0	0	0		
Bodies Corporate		1	2800	0	0	2800	1.13	2800	0	0	0	0	0	0		
Lakshadweep Inv & Fin Ltd	ZZZZ9999Z	1	2800	0	0	2800	1.13	2800	0	0	0	0	0	0		
Sub Total (B)(3)		152	64750	0	0	64750	26.11	64750	0	64750	26.11	0	0	0		
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		152	64750	0	0	64750	26.11	64750	0	64750	26.11	0	0	0		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

For Specular Marketing & Financing Limited



Director



Specular Marketing and Financing Limited  
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								Class eg: X	Class eg: y	Total		No. of Voting Rights	As a % of (A+B+C)	No. (a)	As a % of total Shares held(b)		No. (a)	As a % of total Shares held(b)
1 Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V) + (VI)	(VIII) As a % of (A+B+C2)	(IX)	(X)	(XI)= (VII)+(X)/As a % of (A+B+C2)	(XII)	(XIII)	(XIV)					
2 Total Non-Promoter- Non Public Shareholding (C)= (C)+(C)2		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0		

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

For Specular Marketing & Financing Limited



Director