

# **SPECULAR MARKETING & FINANCING LTD.**

REG. OFFICE: HARI NIVAS, 2<sup>ND</sup> FLOOR, 26, MAMA PARMANAND MARG, OPERA HOUSE, MUMBAI – 400004  
PHONE: 23682859 EMAIL: specmkt@gmail.com  
CIN NO. L51900MH1985PLC034994

Date: - 10.10.2016

To  
**The Listing Department**  
**Bombay Stock Exchange Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai – 400 001

Scrip Code No. 512153

**SUB: SUBMISSION OF THE SHAREHOLDING PATTERN FOR THE QUARTER ENDED 30<sup>TH</sup> SEPTEMBER, 2016**

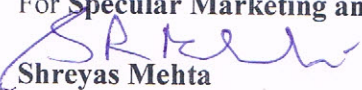
Dear Sir,

With reference to the above please find enclosed herewith the shareholding pattern for the quarter ended 30<sup>th</sup> September 2016 as per provision of Regulation 31 of SEBI (Listing Obligation & Disclosure Requirements) Regulation 2015.

This is for your information and records only.

Thanking you

Yours faithfully,  
For **Specular Marketing and Financing Limited**

  
**Shreyas Mehta**  
**Compliance Officer and**  
**Managing Director**

Encl:- As above

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

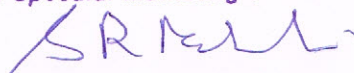
- 1 Name of Listed Entity: **Specular Marketing and Financing Limited**
- 2 Scrip Code/Name of Scrip/Class of Security: **512153 / SPECMKT / EQUITY**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending : **30th September , 2016**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

**For Specular Marketing & Financing Limited**



**Director**

Specular Marketing and Financing Limited

Table 1 - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class	Equity	Total								
(A)	Promoter & Promoter Group	19	183250	0	0	183250	73.89	183250	0	183250	0	0	0	0	0	0	0	
(B)	Public	152	64750	0	0	64750	26.11	64750	0	64750	0	0	0	0	0	0	0	
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	<b>Total</b>	<b>171</b>	<b>248000</b>	<b>0</b>	<b>0</b>	<b>248000</b>	<b>100</b>	<b>248000</b>	<b>0</b>	<b>248000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

For Specular Marketing & Financing Limited

*SARAWAN*

Director

Table II (j)(a) - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)	PAN (II)	Nos of share holder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights				No. (a) As a % of total shares held (b)	No. (a) As a % of total shares held (b)		
								Class Equity	Total						
<b>1 Indian</b>															
<b>Individuals / Hindu Undivided Family</b>															
(a) Shreyas R Mehta	AACPM8602L	18	178550	0	0	178550	71.9900	178550	0	0	0.0000	0	0.0000	0	0.0000
Ranniklal H Mehta	AAAJE0981E		24300	0	0	24300	9.8	24300	0	0	0	0	0	0	0
Bela B Kothari	AADPK9573H		24750	0	0	24750	9.98	24750	0	0	0	0	0	0	0
Nilima S Mehta	AAIPM2302E		5000	0	0	5000	2.02	5000	0	0	0	0	0	0	0
Pradip R Mehta	AHXPMM0093R		12200	0	0	12200	4.92	12200	0	0	0	0	0	0	0
Amit Ratilal Mehta	AABPM8733F		19000	0	0	19000	7.66	19000	0	0	0	0	0	0	0
Satyavati R Mehta	AAHPM3650P		15650	0	0	15650	6.31	15650	0	0	0	0	0	0	0
Manjula Mehta	AAHPM3648P		9000	0	0	9000	3.63	9000	0	0	0	0	0	0	0
Sarita R Mehta	AAAMP00092J		26450	0	0	26450	10.66	26450	0	0	0	0	0	0	0
Anjali Mehta	AABPM7070R		16050	0	0	16050	6.47	16050	0	0	0	0	0	0	0
Ashay S Mehta	AFBPM2099H		8000	0	0	8000	3.23	8000	0	0	0	0	0	0	0
Leena S Mehta	AGNPM4926G		10000	0	0	10000	4.03	10000	0	0	0	0	0	0	0
Nishita S Mehta	AHHPM5561E		1050	0	0	1050	0.42	1050	0	0	0	0	0	0	0
Samir M Mehta	AACPM1418Q		5500	0	0	5500	2.22	5500	0	0	0	0	0	0	0
Mansi Mehta	AOMPM7853B		50	0	0	50	0.02	50	0	0	0	0	0	0	0
Neil Mehta	AFJPM8507I		50	0	0	50	0.02	50	0	0	0	0	0	0	0
Apesha Mehta	AKYPM8582E		500	0	0	500	0.2	500	0	0	0	0	0	0	0
Dilip N Dallal	AAGPD1647L		500	0	0	500	0.2	500	0	0	0	0	0	0	0
<b>(b) Central Government / State Government(s)</b>															
<b>(c) Financial Institutions / Banks</b>															
<b>(d) Any Other (Specify)</b>															
Bodies Corporate															
Rasiklal Hiratal & Co Pvt Ltd	AAACR1854J	1	4700	0	0	4700	1.9	4700	0	0	0	0	0	0	0
Sub Total(A)(1)		19	183250	0	0	183250	73.8900	183250	0	0	0.0000	0	0.0000	0	0.0000
<b>2 Foreign</b>															
<b>Individuals (Non-Resident)</b>															
<b>(a) Individuals / Foreign</b>															
<b>(b) Government</b>															
<b>(c) Institutions</b>															
<b>(d) Foreign Portfolio Investor</b>															
<b>(e) Any Other (Specify)</b>															
Sub Total (A)(2)															
<b>Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)</b>		19	183250	0	0	183250	73.8900	0	0	0	0.0000	0	0.0000	0	0.0000

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

For Specular Marketing & Financing Limited

*SARAN*

Director

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No of shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								Class: Equity	Total			No. (a)	As a % of total shares held (b)		No. (a)	As a % of total shares held (b)
<b>1 Institutions</b>																
(a) Mutual Fund		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b) Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c) Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d) Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e) Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(f) Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(g) Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(i) Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sub Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2 President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	
<b>3 Non-Institutions</b>																
(a) Individuals																
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		151	61950	0	0	61950	24.98	61950	0	61950	24.98	0	0	0	0	
Venu Kalikkot	ACFPK4708A		7000	0	0	7000	2.82	7000	0	7000	2.82	0	0	0	0	
Pradip K Kothari	ZZZZZ9999Z		4000	0	0	4000	1.61	4000	0	4000	1.61	0	0	0	0	
Jornal Mehta	ZZZZZ9999Z		4000	0	0	4000	1.61	4000	0	4000	1.61	0	0	0	0	
Ajay J Mehta	AAALPM0177D		4000	0	0	4000	1.61	4000	0	4000	1.61	0	0	0	0	
Kalpana P Kothari	ZZZZZ9999Z		4000	0	0	4000	1.61	4000	0	4000	1.61	0	0	0	0	
Sanjay Doshi	ZZZZZ9999Z		3000	0	0	3000	1.21	3000	0	3000	1.21	0	0	0	0	
A H Bhimani	ZZZZZ9999Z		10000	0	0	10000	4.03	10000	0	10000	4.03	0	0	0	0	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		0	0	0	0	0	0	0	0	0	0	0	0	0	0	

For Specular Marketing & Financing Limited  
*SARJAN*  
 Director

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class	Equity			No.	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
																	No of Voting Rights
(b) NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any Other (Specify)																	
Hindu Undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Non Resident Indians (Non Repat)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Non Resident Indians (Repat)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Clearing Member		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bodies Corporate		1	2800	0	0	2800	1.13	2800	2800	1.13	2800	0	0	0	0	0	0
Lakshadweep Inv & Fin Ltd	ZZZZ9999Z	1	2800	0	0	2800	1.13	2800	2800	1.13	2800	0	0	0	0	0	0
Sub Total (B)(3)		152	64750	0	0	64750	26.11	64750	64750	26.11	64750	0	0	0	0	0	0
Total Public Shareholding (B)= (B)(I)+(B)(2)+(B)(3)		152	64750	0	0	64750	26.11	64750	64750	26.11	64750	0	0	0	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

For Speculat Marketing & Financing Limited

*S.R. Kulkarni*  
Director

Specular Marketing and Financing Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. underlying shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights					As a % of total Shares held(a)	No. As a % of total Shares held(b)	No. As a % of total Shares held(b)	No. As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V) + (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(a)	(b)	(XIV)		
1 Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit Total Non-Promoter- Non Public Shareholding (C))=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000	0
		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000	0
		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

For Specular Marketing & Financing Limited



Director